

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Warren P. Williamson IV, Paul A. Spence, George T. Chistakis, Mark OrtizApplication No./Patent No.: 6,402,780 Filed/Issue Date: June 11, 2002Entitled: Means And Method Of Replacing A Heart Valve In A Minimally Invasive Manner

Medtronic, Inc., a corporation  
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
 The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Warren P. Williamson IV, Paul A. Spence, Mark Ortiz To: Cardiovascular Technologies, LLC. The document was recorded in the United States Patent and Trademark Office at Reel 015127, Frame 0472, or for which a copy thereof is attached.
2. From: George C. Christakis. To: Cardiovascular Partners, LLC. The document was recorded in the United States Patent and Trademark Office at Reel 015205, Frame 0456, or for which a copy thereof is attached.
3. From: George T. Christakis, M.D. To: Cardiovascular Technologies, LLC. The document was recorded in the United States Patent and Trademark Office at Reel 021561, Frame 0780, or for which a copy thereof is attached.
4. From: George T. Christakis, M.D. To: Cardiovascular Technologies, LLC. The document was recorded in the United States Patent and Trademark Office at Reel 023882, Frame 0975, or for which a copy thereof is attached.
5. From: Cardiovascular Technologies, LLC. To: Arbor Surgical Technologies, Inc. The document was recorded in the United States Patent and Trademark Office at Reel 024079, Frame 0902, or for which a copy thereof is attached.
6. From: Arbor Surgical Technologies, Inc. To: Medtronic, Inc.  
 The document was recorded in the United States Patent and Trademark Office at Reel 024140, Frame 0312, or for which a copy thereof is attached.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Katrina A. Witschen  
 Signature

7/15/2010  
 Date

Katrina A. Witschen  
 Printed or Typed Name

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Patent Counsel  
 Title